

FY 2012 NCR UASI Guidance

Members of the CAO Homeland Security Executive Committee and the Senior Policy Group reviewed the region's sustainment policies, identified a list of projects for which local sustainment options should be developed this spring, and reviewed the key funding and recommended priorities in each Strategic Plan objective or initiative at the Feb. 9 joint meeting.¹

Reports on Management Review Follow Up Items are due Feb. 29. These checklists require establishing outcome-based metrics that measure the capability sought, and that the SME leads report on the gaps in achieving the Strategic Plan objective or initiative, the budget and milestones, and identify alternate sources of funding. In addition, action items are called out for specific areas such as voice interoperability, NCRNet, and medical surge. (Each SME lead received the checklist; copies are posted on the MWCOG NCR SharePoint site).

The CAOs and SPG found the initial meetings beneficial for overview of the entire Strategic Plan. Going forward they directed sessions be organized to achieve more in-depth consideration of issues. To do this, upcoming meetings will be structured as followed:

- On April 4, the CAOs and SPG will review half of the project concepts and reach a tentative agreement on whether and how to include them in the application. On April 12, they will review the remaining project concepts and finalize decisions for the FY 2012 UASI application.
- Management reviews will be held every other month. The goals, objectives, and initiatives reviewed at each session will be divided to balance the work load of each session.

Local Sustainment Options

This spring, the CAOs will develop local sustainment options for the following:

<u>Project</u>	<u>Goal, Objective, or Initiative</u>
Text alerts	Obj. 2.1 Public Information
WebEOC	Obj. 2.2 Situational Awareness
PROTECT	Goal 3 Critical Infrastructure Protection
Water Security Monitoring Network (future transition to water utilities, fund with UASI through 2014)	Goal 3 Critical Infrastructure Protection
EMS Public Health Planners – Local or other source of funding	Obj. 4.1 Medical Surge Preparedness
MMRS Warehousing	Obj. 4.1 Medical Surge Preparedness
Volunteer Coordination / Citizen Corps Programs	Obj. 4.3 Increase Public Preparedness
Structural PPE	Obj. 4.4 Response Teams
Exercise and Training Officers	Obj. 4.5 Planning Training and Exercises
NIMS Compliance Officers	Obj. 4.5 Planning Training and Exercises
Regional Planners	Obj. 4.5 Planning Training and Exercises

¹ A copy of the overview on sustainment along with an updated chart documenting the current status of the review of 2012 sustainment requests is attached

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CAO and SPG Guidance for FY 2012 UASI Application

This guidance for the UASI 2012 application establishes parameters for project submission and project budgets. All project requests are contingent upon identifying and addressing specific, measurable capabilities sought and identifying alternate sources of funding. The SPG and CAOs will make UASI application decisions at their April meetings.

Note on Timely Sustainment. Under each goal, objective, or initiative, “timely sustainment” refers to projects that require funding to continue beyond Sept. 30, 2012. In many cases, the CAOs have indicated that timely sustainment requests are pending further review of outstanding issues and consideration of alternate funding options.

GOAL ONE INTEROPERABLE COMMUNICATIONS CAPABILITIES

Objective 1.1 Voice Interoperability

Timely Sustainment:	No timely sustainment request.
New Projects	No new projects.
Next step:	Develop approach for the strategic assessment and plan for voice interoperability and present it to the CAOs & SPG for approval before moving forward.

Objective 1.2 Data Interoperability

Timely Sustainment:	No timely sustainment request.
New Projects:	None
Extensions requested for:	Identity Management (IAMS) NCRGDX (Virtual USA) – INDEX NCRNet/DEH CAD2CAD

No additional UASI funds or local funds unless substantial progress is made toward expanding utilization of the NCRNet. The SME lead for Obj. 1.2 is identifying which applications will be deployed on NCRNet and defining the steps to deploy these applications. This includes identifying obstacles to the use of NCRNet and recommended how they can be mitigated. (This plan is due on February 29.)

Objective 1.3 Video Interoperability

Timely Sustainment:	\$600,000 for sustainment of NCR Video Implementation Project
New Projects:	None

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GOAL TWO ENHANCE INFORMATION SHARING AND SITUATIONAL AWARENESS

Objective 2.1 Public Information

Timely Sustainment: \$2,675. Sustainment amount for Text Alerts project is TBD based on a rebidding process, and based on discussion of local sustainment options. Details are provided in the attached 2012 NCR Sustainment Estimates Reference Chart.

New Projects: None

Objective 2.2 Situational Awareness

Timely Sustainment: \$1,477,957. Sustainment amount for WebEOC is contingent upon discussion of local sustainment. While this amount also includes the Integration of Communication and Collaboration tools project (VTC and Sat. Phones), the SAA needs to confirm the appropriateness of this project as a situational awareness tool. Details are provided in the attached 2012 NCR Sustainment Estimates Reference Chart.

New Projects: None

Initiative 2.3.1 Fusion Center Information Sharing

Timely Sustainment: \$2,363,916. Funding of fire analysts and options for consolidation of fusion centers flagged for review. Details are provided in the attached 2012 NCR Sustainment Estimates Reference Chart.

New Projects: None Identified in Management Review Process

Initiative 2.3.2 Law Enforcement Data and Intel Sharing

Timely Sustainment: \$4,245,000. The AFIS and License Plate Readers (LPR) projects were originally categorized as sustainment. LPR is partial sustainment and partial enhancement. The AFIS request appears to sustain the capability; the police chiefs may submit an additional request to enhance the capability as well. Enhancement and expansion requests are appropriate for submission provided they align with the strategic plan and then demonstrate in measurable terms achievement of the capability identified. Details are provided in the attached 2012 NCR Sustainment Estimates Reference Chart.

New Projects: LPR expansion or enhancements; possible AFIS expansion or enhancements.

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GOAL THREE: ENHANCE CRITICAL INFRASTRUCTURE PROTECTION

Timely Sustainment: \$245,000. Per a 2011 Policy Condition, PROTECT sustainment funding was for one year. This issue has been referred for consideration for local funding to sustain capabilities. Water Security Monitoring Network also flagged for consideration for local sustainment. Details are provided in the attached 2012 NCR Sustainment Estimates Reference Chart.

New Projects

- The CIP RPWG should draw on existing efforts with respect to private sector engagement rather than funding an additional effort.
- The CIP RPWG is encouraged to identify resources needed for sector assessments.
- The CIP RPWG is encouraged to develop a cybersecurity project focused on the five priority Sectors.
- IAMS project funding, to the extent that it addresses the NCRNet, should be coordinated as part of the data sharing objective and coordinated with the CIOs
- For all projects, CIP RPWG and other proposers should develop projects in phases funded in subsequent years, e.g. assessment, design and engineering, acquisition, training etc.
- The CIP RPWG is encouraged to develop a project for providing accessible, operational CIP data in the priority sectors. This recommendation should be based on the data requirements matrix being developed as a Goal 3 Management Review follow up. This would include displaying CIP data into a GIS layer.

GOAL FOUR: ENSURE DEVELOPMENT AND MAINTENANCE OF REGIONAL CORE

Objective 4.1 (Including Initiative 4.4.4) Medical Surge Preparedness

Timely Sustainment: \$1,127,190. If Sustainment is not timely where funding remains available for from a prior grant and additional funds in 2012 are not needed to continue the project through the next grant cycle, then sustainment funds are not timely. EMS Public Health Planners and MMRS Warehousing (Montgomery County) projects are both TBD based on discussion of a local sustainment option. Details are provided in the attached 2012 NCR Sustainment Estimates Reference Chart.

Guidance for MMRS: Develop an appropriate funding level for MD, VA, and DC for MMRS with appropriate requirements to define specific, measurable capabilities and to address inventory and warehousing concerns.

New Projects: None Identified in Management Review Process .

Objective 4.2 (Including Initiative 4.3.3) Evacuation and Mass Care Capacity

Timely Sustainment: \$451,000. Details are provided in the attached 2012 NCR Sustainment Estimates Reference Chart.

Project Guidance:

- Any project proposals should indicate whether FEMA's initiative with respect to mass care and sheltering will address these needs.
- New project proposals for sheltering and mass care should also clearly define how the project will fill a measureable gap in the capability.

Objective 4.3 (excluding Initiative 4.3.3) Increase Public Preparedness

Timely Sustainment: \$1,000,000. Project proposers need to address concerns about how the funds are allocated among the jurisdictions. The CAOs plan to develop local sustainment options for the Volunteer Coordinators project. Details are provided in the attached 2012 NCR Sustainment Estimates Reference Chart.

Note: Separate federal Citizen Corp Program grant was eliminated in FY 2012.

New Projects: New funding requests should be explicitly tied to how they contribute to the capability sought; e.g. how many volunteers will be generated to staff shelters, etc.

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Objective 4.4 (Excluding Initiative 4.4.4) Response Teams

- Timely Sustainment:** \$1,003,315. Level of Structural PPE funding subject to decision regarding local funding of sustainment of this capability. WMD training request subject to NCR policy that training grants be consolidated through ETOP. Details are provided in the attached 2012 NCR Sustainment Estimates Reference Chart.
- New Projects:** Open Vision Video X-Ray Systems for Bomb Squads – This project appears to be a new project. It is appropriate for submission provided it aligns with the strategic plan and then demonstrates in measurable terms achievement of the capability identified.

Objective 4.5 Planning, Training, Exercises

- Timely Sustainment:** \$5,625,000. Sustainment requests for NIMS, Exercise and Training Officers, and Regional Planners pending local sustainment options. Details are provided in the attached 2012 NCR Sustainment Estimates Reference Chart.
- New Projects:** None Identified in Management Review Process .
- Project Guidance:** ETOP should review the training and exercise requests of the other R-ESFs and RPWGs, assess the appropriateness of the training, the proposed budgets, and whether they are required during the period of performance of the grant. This should be included in ETOPs rationale and budget for its request for a regional training grant.